

DOCKET NO: 211641US2PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: YOSHIDA Kouji

SERIAL NUMBER: 09/869,293

FILED: July 18, 2001

FOR: PATTERN MATCHING METHOD AND UNIT, POSITION DETECTION METHOD AND UNIT, ALIGNMENT METHOD AND UNIT, EXPOSURE METHOD AND APPARATUS, AND DEVICE AND MANUFACTURING METHOD

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

Sir:

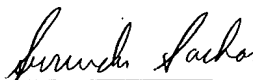
Responsive to the notification dated *7 September 2001*, and in accordance with the provisions of 35 U.S.C. 371, Applicants submit herewith copy of the date-stamped filing receipt evidencing the filing of Rule 63 Declaration as well as a copy of the Declaration.

The required surcharge was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P. C.



Marvin J. Spivak  
Registration No. 24,913  
Surinder Sachar  
Registration No. 34,423



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(703) 413-3000

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Q.S.&M. File No. 211641US2PCT By MJS/dty FF

Due Date NONE

Serial No. 09/869,293

In the Matter of the Application of Kouji YOSHIDA

For PATTERN MATCHING METHOD AND UNIT, POSITION DETECTI  
METHOD AND UNIT, ALIGNMENT METHOD AND UNIT, EXPOSU  
METHOD AND APPARATUS, AND DEVICE AND DEVI  
MANUFACTURING METHOD

The following has been received in the U.S. Patent Office on the date stamped here:

☐ \_\_\_ pps. Specification & \_\_\_ Claims (English Translation)

☒ Combined Declaration, Petition & Power of Attorney (2 pages)

☒ Submission of Missing Requirements Under 37 CFR 1.495

☒ PCT Transmittal Letter

☐ Verified Statement (Declaration) Claiming Small Entity Status

☐ Submission of Verified Statement (Declaration) Claiming Small Entity Status

☒ Check for \$ 660.00; ☒ Dep. Acct. Order Form

☐ Declaration of \_\_\_\_\_

☒ Assignment 2 pages/PTO-1595

☐ Letter to Official Draftsman

☐ Letter Requesting Approval of Drawing Changes

☐ Drawings \_\_\_ sheets

☒ Preliminary Amendment

☐ Information Disclosure Statement; ☐ PTO-1449

☐ Cited References ( )

☐ \_\_\_\_\_ Search Report

☐ Statement of Relevancy

☐ Restriction Response

☐ Election Response

☐ Rule 132 Declaration

☐ Petition

☐ Notice of Appeal

Date Rec'd 60 Rec'd PCT/PTO 27 AUG 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE

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www.uspto.gov

OBLON, SPIVAK, MCCLELLAND,  
MAIER & NEUSTADT, P.C.

OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VA 22202

YOSHIDA

K

ATTY. DOCKET NO.

211641US2PCT

INTERNATIONAL APPLICATION NO.

PCT/JP00/00182

LA. FILING DATE

PRIORITY DATE

18 JAN 00

18 JAN 99

DATE MAILED:

07 SEP 2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- ET ☒ U.S. Basic National Fee. ☐ Indication of Small Entity Status.  
☒ Copy of the international application. ☒ Translation of the international application into English.  
☐ Oath or Declaration of Inventors(s). ☒ Translation of Article 19 amendments into English.  
☐ Copy of Article 19 amendments. ☐ Other:  
☒ Priority Document.  
☐ The International Preliminary Examination Report in English and its Annexes, if any.  
☐ Translation of Annexes to the International Preliminary Examination Report into English.

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.  
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).  
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.  
☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed: ☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

☐ PCT/DO/EO/920

Paulette Kidwell, Paralegal

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-3656

RD 11.7.01  
to N/Miss. Req.